

# OBERWOLFACH EXTENDED ABSTRACT: C\*-ALGEBRAS OF STABLE RANK ONE AND THEIR CUNTZ SEMIGROUPS

HANNES THIEL

ABSTRACT. This is the extended abstract for my talk ‘C\*-algebras of stable rank one and their Cuntz semigroups’ at the Conference ‘C\*-Algebras’, Mathematisches Forschungsinstitut Oberwolfach (MF), 11. August – 17. August 2019, organized by Mikael Rørdam (Copenhagen), Dima Shlyakhtenko (Los Angeles), Andreas Thom (Dresden) and Stefaan Vaes (Leuven).

I report on joint work with Ramon Antoine, Francesc Perera and Leonel Robert.

**Stable rank one.** Recall that a unital C\*-algebra is said to have *stable rank one* if its invertible elements are norm-dense. This strong finiteness condition was introduced by Rieffel, [Rie83], to study nonstable  $K$ -theory. First examples of C\*-algebras of stable rank one include  $\text{II}_1$ -factors and commutative C\*-algebras  $C(X)$  with  $X$  of covering dimension at most one.

Stable rank one has very nice permanence properties: It passes to ideals, quotients, hereditary sub-C\*-algebras, direct sums, inductive limits, matrix amplifications and stabilizations. Using this, it follows for instance that the class of stable rank one C\*-algebras includes all AF- and AT-algebras.

In [Put90], Putnam proved that all irrational rotation algebras have stable rank one. Later, it was shown by Elliott-Evans, [EE93], that these algebras are even AT-algebras. These results were generalized in two ways: First, it was shown that large classes of simple C\*-algebras are ASH-algebras; see for example [LP10]. Second, it was studied when simple ASH-algebras have stable rank one; see for example [DNNP92], [EHT09].

The picture was clarified with the discovery of the Jiang-Su algebra  $\mathcal{Z}$ , [JS99], which is a unital, simple, nonelementary C\*-algebra that is  $KK$ -equivalent to  $\mathbb{C}$ . One says that a C\*-algebra  $A$  is  $\mathcal{Z}$ -stable if  $A \cong A \otimes \mathcal{Z}$ . This important regularity property is the C\*-algebraic analog of the McDuff-property for von Neumann algebras. Rørdam, [Rør04], showed that  $\mathcal{Z}$ -stable, simple, stably finite, C\*-algebras have stable rank one. In [Tom11], Toms proved that simple ASH-algebras with slow dimension growth are  $\mathcal{Z}$ -stable, and thus have stable rank one.

There are also numerous simple, non- $\mathcal{Z}$ -stable C\*-algebras of stable rank one. Amenable examples were constructed by Villadsen, [Vil98], and Toms, [Tom08]. Nonamenable examples are given by Dykema-Haagerup-Rørdam, [DHR97]: the reduced group C\*-algebra  $C_\lambda^*(G_1 * G_2)$  is simple and has stable rank one whenever  $G_1$  and  $G_2$  are nontrivial groups that are not both isomorphic to  $\mathbb{Z}/2\mathbb{Z}$ .

1. **Question.** Let  $G$  be a discrete group such that its reduced group C\*-algebra  $C_\lambda^*(G)$  is simple. Does  $C_\lambda^*(G)$  have stable rank one?

**The Cuntz semigroup.** Let  $A$  be a C\*-algebra, and let  $A \otimes \mathbb{K}$  denote its stabilization. Recall that the *Murray-von Neumann semigroup*  $V(A)$  is defined

---

*Date:* 7. September 2019.

The author was partially supported by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) under the SFB 878 (Groups, Geometry & Actions) and under Germany’s Excellence Strategy EXC 2044 390685587, Mathematics Mnster: Dynamics-Geometry-Structure.

through equivalence classes of projections in  $A \otimes \mathbb{K}$ . Orthogonal addition turns  $V(A)$  into a commutative monoid, which is naturally isomorphic to the set of isomorphism classes of finitely generated, projective  $A$ -modules. If  $A$  is unital, then its  $K_0$ -group is isomorphic to the Grothendieck completion of  $V(A)$ .

Given  $a, b \in A_+$ , we write  $a \lesssim_{\text{Cu}} b$  if there is a sequence  $(c_n)_n$  in  $A$  with  $\lim_n \|a - c_n b c_n^*\| = 0$ . Further,  $a$  and  $b$  are *Cuntz equivalent*, denoted  $a \sim_{\text{Cu}} b$ , if  $a \lesssim_{\text{Cu}} b$  and  $b \lesssim_{\text{Cu}} a$ . These relations were introduced by Cuntz, [Cun78], in his study of dimension functions on  $C^*$ -algebras. The *Cuntz semigroup* of  $A$  is

$$\text{Cu}(A) := (A \otimes \mathbb{K})_+ / \sim_{\text{Cu}}.$$

Again, orthogonal addition turns  $\text{Cu}(A)$  into a commutative monoid, and the relation  $\lesssim_{\text{Cu}}$  induces an additive order on  $\text{Cu}(A)$ . There is a picture of  $\text{Cu}(A)$  using countably generated, Hilbert  $A$ -modules, [CEI08]. If  $A$  has stable rank one, then  $\text{Cu}(A)$  is naturally isomorphic to the isomorphism classes of such modules.

The following table contains some examples of the considered invariants. It becomes apparent that  $\text{Cu}(A)$  contains more information than  $V(A)$ :

$A$	$V(A)$	$K_0(A)$	$\text{Cu}(A)$
$\mathbb{C}$ or $M_n(\mathbb{C})$	$\mathbb{N}$	$\mathbb{Z}$	$\overline{\mathbb{N}} = \mathbb{N} \cup \{\infty\}$
$\text{II}_1$ -factor	$[0, \infty)$	$\mathbb{R}$	$[0, \infty) \sqcup (0, \infty]$
$C([0, 1])$	$\mathbb{N}$	$\mathbb{Z}$	$\text{Lsc}([0, 1], \overline{\mathbb{N}})$
$\mathcal{Z}$ or $C_\lambda^*(\mathbb{F}_\infty)$	$\mathbb{N}$	$\mathbb{Z}$	$\mathbb{N} \sqcup (0, \infty]$

In [CEI08], Coward-Elliott-Ivanescu introduced the category  $\mathbf{Cu}$  of abstract Cuntz semigroups, and they showed that the Cuntz semigroup defines a functor from  $C^*$ -algebras to  $\mathbf{Cu}$ . A systematic study of  $\mathbf{Cu}$  with applications to the structure theory of  $C^*$ -algebras was conducted in [APT18] and [APT17]. In particular, it was shown that  $\mathbf{Cu}$  admits a natural tensor product construction that gives it the structure of a closed, symmetric, monoidal category.

**1. Theorem** ([APT19]). *The category  $\mathbf{Cu}$  is complete and cocomplete, and the Cuntz semigroup functor preserves inductive limits and direct sums. Further, a scaled version of the Cuntz semigroup preserves products and ultraproducts.*

The Cuntz semigroup is an invariant that contains a lot of information about the  $C^*$ -algebra, including its lattice of ideals and its simplex of (quasi)traces. Thus, the results in [APT19] allow us to access the ideal lattice and the quasitraces of products and ultraproducts of  $C^*$ -algebras.

**The rank problem.** Let  $A$  be a simple, stably finite, exact  $C^*$ -algebra. Then  $\text{Cu}(A)$  decomposes as  $\text{Cu}(A) = V(A)^\times \sqcup \text{Cu}(A)_{\text{soft}}$ , where  $V(A)^\times$  contains the classes of nonzero projections, and where  $\text{Cu}(A)_{\text{soft}}$  consists of classes of elements with connected spectrum. Every trace  $\tau: A \rightarrow \mathbb{C}$  induces a dimension function:

$$d_\tau: \text{Cu}(A) \rightarrow [0, \infty], \quad d_\tau([a]) = \lim_{n \rightarrow \infty} \tau(a^{1/n}).$$

One calls  $d_\tau([a])$  the ‘rank’ of  $a$  with respect to  $\tau$ . The rank map is

$$\alpha: \text{Cu}(A)_{\text{soft}} \rightarrow \text{LAff}(T(A))_{++}, \quad \alpha([a])(\tau) = d_\tau(a).$$

One says that  $A$  has *strict comparison* if  $\alpha$  is an order-embedding, and there are examples when this fails. The *rank problem* for  $A$  is to determine the range of  $\alpha$ . There are no examples known when  $\alpha$  is not surjective.

**2. Question.** Given a separable, unital, simple, exact  $C^*$ -algebra, and given  $f \in \text{LAff}(T(A))_{++}$ , is there  $a \in (A \otimes \mathbb{K})_+$  with  $d_\tau([a]) = f(\tau)$  for all  $\tau \in T(A)$ ?

If  $A$  is  $\mathcal{Z}$ -stable, then  $\alpha$  is an isomorphism. If  $A$  is amenable, then the Toms-Winter conjecture predicts that  $A$  is  $\mathcal{Z}$ -stable if and only if  $A$  has strict comparison. Thus,  $\alpha$  is predicted to be an isomorphism whenever it is an order-embedding. This establishes a connection between the Toms-Winter conjecture and the rank problem.

**2. Theorem** ([Thi17]). *If  $A$  has stable rank one, then  $\alpha$  is surjective.*

**1. Corollary.** *If  $A$  has stable rank one and strict comparison, then*

$$\mathrm{Cu}(A) \cong V(A) \sqcup \mathrm{LAff}(T(A))_{++} \cong \mathrm{Cu}(A \otimes \mathcal{Z}).$$

*If, additionally,  $A$  has locally finite nuclear dimension (for example  $A$  is an ASH-algebra), then it follows that  $A$  is  $\mathcal{Z}$ -stable.*

**Riesz interpolation.** In [APRT18] we unveil new structure in the Cuntz semigroup of C\*-algebras of stable rank one. The main result is:

**3. Theorem** ([APRT18]). *If  $A$  has stable rank one, then  $\mathrm{Cu}(A)$  has Riesz interpolation: If  $x_j \leq z_k$  for  $j, k = 1, 2$ , then there is  $y$  with  $x_1, x_2 \leq y \leq z_1, z_2$ .*

**2. Corollary.** *If  $A$  is separable and of stable rank one, then  $\mathrm{Cu}(A)$  is semilattice.*

These results allow us to apply semilattice theory to study C\*-algebras of stable rank one. Using this method, we confirm a conjecture of Blackadar-Handelman and we solve the Global Glimm Halving Problem in this context.

Given a unital C\*-algebra, we use  $\mathrm{DF}(A)$  to denote the compact convex set of not necessarily continuous dimension functions on  $A$ . For a compact, Hausdorff space  $X$ , the set  $\mathrm{DF}(C(X))$  can be identified with the finitely additive probability measures on  $X$ , which is a Choquet simplex. In [BH82], Blackadar-Handelman conjectured that  $\mathrm{DF}(A)$  is always a Choquet simplex. We prove:

**4. Theorem.** *If  $A$  has stable rank one, then  $\mathrm{DF}(A)$  is a Choquet simplex.*

The Global Glimm Halving Problem, [KR02], seeks to characterize when a C\*-algebra  $A$  has no finite-dimensional irreducible representations. A sufficient condition is that  $A$  admits a \*-homomorphism  $M_k(C_0((0, 1])) \rightarrow A$  with full image for each  $k \in \mathbb{N}$ , and the problem is to show that this criterion is also sufficient. Under the assumption of stable rank one, we show an even stronger result:

**5. Theorem.** *Let  $A$  have stable rank one, and let  $k \in \mathbb{N}$ . Then  $A$  has no irreducible representation of dimension  $< k$  if and only if there exists a \*-homomorphism  $M_k(C_0((0, 1])) \rightarrow A$  with full image.*

## REFERENCES

- [APRT18] R. ANTOINE, F. PERERA, L. ROBERT, and H. THIEL, C\*-algebras of stable rank one and their Cuntz semigroups, preprint (arXiv:1809.03984 [math.OA]), 2018.
- [APT17] R. ANTOINE, F. PERERA, and H. THIEL, Abstract bivariant Cuntz semigroups, Int. Math. Res. Not. IMRN (to appear), preprint (arXiv:1702.01588 [math.OA]), 2017.
- [APT18] R. ANTOINE, F. PERERA, and H. THIEL, Tensor products and regularity properties of Cuntz semigroups, *Mem. Amer. Math. Soc.* **251** (2018), viii+191.
- [APT19] R. ANTOINE, F. PERERA, and H. THIEL, Cuntz semigroups of ultraproduct C\*-algebras, preprint (arXiv:1905.03208 [math.OA]), 2019.
- [BH82] B. BLACKADAR and D. HANDELMAN, Dimension functions and traces on C\*-algebras, *J. Funct. Anal.* **45** (1982), 297–340.
- [CEI08] K. T. COWARD, G. A. ELLIOTT, and C. IVANESCU, The Cuntz semigroup as an invariant for C\*-algebras, *J. Reine Angew. Math.* **623** (2008), 161–193.
- [Cun78] J. CUNTZ, Dimension functions on simple C\*-algebras, *Math. Ann.* **233** (1978), 145–153.
- [DNNP92] M. DADARLAT, G. NAGY, A. NÉMETHI, and C. PASNICU, Reduction of topological stable rank in inductive limits of C\*-algebras, *Pacific J. Math.* **153** (1992), 267–276.
- [DHR97] K. DYKEMA, U. HAAGERUP, and M. RØRDAM, The stable rank of some free product C\*-algebras, *Duke Math. J.* **90** (1997), 95–121.

- [EE93] G. A. ELLIOTT and D. E. EVANS, The structure of the irrational rotation  $C^*$ -algebra, *Ann. of Math. (2)* **138** (1993), 477–501.
- [EHT09] G. A. ELLIOTT, T. M. HO, and A. S. TOMS, A class of simple  $C^*$ -algebras with stable rank one, *J. Funct. Anal.* **256** (2009), 307–322.
- [JS99] X. JIANG and H. SU, On a simple unital projectionless  $C^*$ -algebra, *Amer. J. Math.* **121** (1999), 359–413.
- [KR02] E. KIRCHBERG and M. RØRDAM, Infinite non-simple  $C^*$ -algebras: absorbing the Cuntz algebras  $\mathcal{O}_\infty$ , *Adv. Math.* **167** (2002), 195–264.
- [LP10] H. LIN and N. C. PHILLIPS, Crossed products by minimal homeomorphisms, *J. Reine Angew. Math.* **641** (2010), 95–122.
- [Put90] I. F. PUTNAM, The invertible elements are dense in the irrational rotation  $C^*$ -algebras, *J. Reine Angew. Math.* **410** (1990), 160–166.
- [Rie83] M. A. RIEFFEL, Dimension and stable rank in the  $K$ -theory of  $C^*$ -algebras, *Proc. London Math. Soc. (3)* **46** (1983), 301–333.
- [Rør04] M. RØRDAM, The stable and the real rank of  $\mathcal{Z}$ -absorbing  $C^*$ -algebras, *Internat. J. Math.* **15** (2004), 1065–1084.
- [Thi17] H. THIEL, Ranks of operators in simple  $C^*$ -algebras with stable rank one, *Commun. Math. Phys.* (to appear), preprint (arXiv:1711.04721 [math.OA]), 2017.
- [Tom11] A. TOMS,  $K$ -theoretic rigidity and slow dimension growth, *Invent. Math.* **183** (2011), 225–244.
- [Tom08] A. S. TOMS, On the classification problem for nuclear  $C^*$ -algebras, *Ann. of Math. (2)* **167** (2008), 1029–1044.
- [Vil98] J. VILLADSEN, Simple  $C^*$ -algebras with perforation, *J. Funct. Anal.* **154** (1998), 110–116.

HANNES THIEL, MATHEMATISCHES INSTITUT, UNIVERSITÄT MÜNSTER, EINSTEINSTR. 62, 48149 MÜNSTER, GERMANY

*E-mail address:* hannes.thiel@uni-muenster.de